



PATENT
Attorney Docket No. 98-027
Express Mail No. EL730648566US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Verne C. Hornbeck and)
Derryl D.J. Allman)
Serial No. 09/217,183)
Filed: December 21, 1998) Group Art Unit: 2977
For: ON-CHIP GRADED INDEX OF)
REFRACTION OPTICAL)
WAVEGUIDE AND DAMASCENE)
METHOD OF FABRICATING THE)
SAME)

7/19/03
D. Marsha
9/6/01

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

The Examiner may wish to consider the following references during the examination of the above-identified application:

United States Patents

<u>Patent Number</u>	<u>Issue Date</u>	<u>Inventor</u>
4,939,682	Jul. 3, 1990	Falk
5,600,741	Feb. 4, 1997	Hauer et al.
6,097,864	Aug. 1, 2000	Kropp

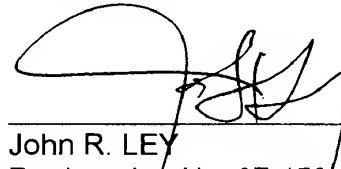
Attached is a completed Form PTO-1449 for the Examiner's convenience in citing these references. Copies of the above identified references are also enclosed. Copies of the above identified references are also enclosed.

The Examiner should be aware of pending applications Serial Numbers 09/217,182 and 09/217,184, which may be related to this application.

The enclosed references were cited in office action dated April 12, 2001, for Serial Number 09/217,184. The Commissioner is hereby authorized to charge the fee associated with the filing of this Information Disclosure Statement to Deposit Account No. 12-2252.

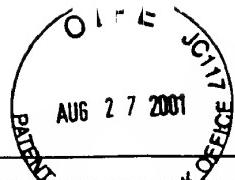
Signed at Greenwood Village, Colorado this 27th day of August, 2001.

Respectfully submitted,



John R. LEY
Registration No. 27,453
ATTORNEYS FOR APPLICANT

JOHN R. LEY, LLC
5299 DTC Boulevard, Suite 610
Greenwood Village, Colorado 80111-3327
Telephone: (303) 740-9000
Facsimile: (303) 740-9042



Sheet 1 of 1

FORM PTO-1449 U.S. DEPT. OF COMMERCE (Rev. 2-82) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 98-027	SERIAL NO. 09/217,183
APPLICANT Darryl D.J. Allman and Verne C. Hornbeck		
FILING DATE December 21, 1998		GROUP 2877

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.